

**Annexure – B****Investor Complaints Data \_ Trading****Data for every month ending – January 2022**

S N	Receive d from	Carried forwar d from previou s month	Receive d during the month	Total Pendin g	Resolve d*	Pending at the end of the month**		Average Resoluti on time^ (in days)
						Pendin g for less than 3 month s	Pendin g for more than 3 month s	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORE S)	0	0	0	0	0		0
3	Stock Exchang es	0	0	0	0	0		0
4	Other Sources (if any)	0	0	0	0	0		0
5	<b>Grand Total</b>	0	0	0	0	0		0

### Trend of monthly disposal of complaints

<b>SN</b>	<b>Month</b>	<b>Carried forward from previous month</b>	<b>Received</b>	<b>Resolved*</b>	<b>Pending**</b>
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>
1	April -2021	0	0	0	0
2	May-2021	0	0	0	0
3	June-2021	0	0	0	0
4	July-2021	0	0	0	0
5	August – 2021	0	0	0	0
6	September-2021	0	0	0	0
7	October-2021	0	0	0	0
8	November-2021	0	0	0	0
9	December-2021	0	0	0	0
10	January-2022	0	0	0	0
11	February-2022				
12	March-2022				
	<b>Grand Total</b>	0	0	0	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### Trend of annual disposal of complaints

<b>SN</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received during the year</b>	<b>Resolved during the year</b>	<b>Pending at the end of the year</b>
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

### Annexure C

#### Investor Complaints Data \_NSDL

#### Data for every month ending - January 2022

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	2	1	1	1	0	1
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Depositories	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	<b>Grand Total</b>	0	2	1	1	1	0	1

#### Trend of monthly disposal of complaints

S N	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April - 2021	0	1	1	0
2	May- 2021	0	0	0	0
3	June-2021	0	0	0	0
4	July- 2021	0	0	0	0
5	August 2021	0	1	1	0
6	September 2021	0	0	0	0
7	October 2021	0	2	1	1
8	November 2021	1	0	0	1
9	December 2021	1	0	0	1
10	January 2021	1	2	1	2
11	February 2021				
12	March 2021				
	<b>Grand Total</b>	0	6	4	2

\*Should include complaints of previous months resolved in the current month, if any

\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### **Trend of annual disposal of complaints**

<b>SN</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received during the year</b>	<b>Resolved during the year</b>	<b>Pending at the end of the year</b>
1	2017-18	0	3	2	1
2	2018-19	1	14	13	2
3	2019-20	2	7	7	2
4	2020-21	2	8	8	2
5	2021-22	2	6	4	4
	<b>Grand Total</b>	7	38	34	11

### Annexure C

#### Investor Complaints Data \_CDSL

#### Data for every month ending - January 2022

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORES)	0	0	0	0	0		0
3	Depositories	0	0	0	0	0		0
4	Other Sources (if any)	0	0	0	0	0		0
5	<b>Grand Total</b>	0	0	0	0	0		0

#### Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April - 2021	0	0	0	0
2	May- 2021	0	0	0	0
3	June-2021	0	0	0	0
4	July- 2021	0	0	0	0
5	August 2021	0	0	0	0
6	September 2021	0	0	0	0
7	October 2021	0	0	0	0
8	November 2021	0	0	0	0
9	December 2021	0	0	0	0
10	January 2022	0	0	0	0
11	February 2022				
12	March 2022				
	<b>Grand Total</b>	0	0	0	0

\*Should include complaints of previous months resolved in the current month, if any

\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### **Trend of annual disposal of complaints**

<b>SN</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received during the year</b>	<b>Resolved during the year</b>	<b>Pending at the end of the year</b>
1	2017-18	0	1	1	0
2	2018-19	0	1	1	0
3	2019-20	0	1	1	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
	<b>Grand Total</b>	0	3	3	0