

SHAH INVESTOR'S HOME LTD.



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APPLICATION FORM FOR EQUITY SYSTEMATIC INVESTMENT PLAN (ESIP)

Trading Code (for existing client) : _____ Branch Name : _____

Name of the Client : _____ Group Code : _____

I / We hereby authorize and instruct you to buy the following scrip(s) as per the limits / time schedule under ESIP scheme.

SIP SCHEME SELECTION (Please tick any One scrip that will be default)

Exchange Traded Funds (ETF)			
ETF Name	Amount (Rs.)	ETF Name	Amount (Rs.)
ETF Name	Amount (Rs.)	ETF Name	Amount (Rs.)
SIHL ESIP Schemes			
Scheme A	Amount (Rs.)	Scheme B	Amount (Rs.)
Scheme C	Amount (Rs.)	Scheme D	Amount (Rs.)
Equity Scrips			
Scrip Name	Amount (Rs.)	Scrip Name	Amount (Rs.)
Scrip Name	Amount (Rs.)	Scrip Name	Amount (Rs.)
Scrip Name	Amount (Rs.)	Scrip Name	Amount (Rs.)
Scrip Name	Amount (Rs.)	Scrip Name	Amount (Rs.)
Scrip Name	Amount (Rs.)	Scrip Name	Amount (Rs.)
Scrip Name	Amount (Rs.)	Scrip Name	Amount (Rs.)
GOLD ETF			
Scheme Name	Amount (Rs.)	Scheme Name	Amount (Rs.)
Total Investment		Amount (Rs.)	

ESIP ECS Transfer Date : 6th of Month 20th of Month Both (Please Tick)

Trade Date will be two business Date after ECS Date Tenure : 12 Months 18 Months 24 Months

Each ESIP Amount (Rs.) : _____ (Must match Total above)

For first ESIP transaction, a cheque of Rs. _____ drawn on _____
Bank on _____ is enclosed.

Name : _____ Signature of the Account Holder : _____

Contact No.: _____ Email Id : _____

FOR OFFICE USE ONLY

Receive Date : ESIP ID : _____

Verification Date : NAME : _____

Employee Code and Name : _____

TERMS & CONDITIONS FOR EQUITY SYSTEMATIC INVESTMENT PLAN (ESIP)

1. Application for ESIP

- ESIP is Equity Systematic Investment Plan of Shah Investor's Home Ltd, hereinafter referred to as SIHL.
- Only Resident individuals and Non-individuals are eligible to apply under ESIP
- ESIP application form received on or before 20th date of given month will be processed and ESIP investment will start **from immediate** following month.
- Applications complete in all respects will be accepted. The Company reserves the right to reject any incomplete forms or form with too many corrections or corrections in the name/signature.

2. Order and Trade Execution of ESIP

- ESIP means plan offered by SIHL, on its trading platform whereby the client authorizes and instructs SIHL to place 'BUY' orders in specific securities in predetermined amounts at periodic intervals over a fixed period of time and gives an ECS mandate to his default linked bank account. However the Client may sell the shares accumulated through the plan at his/her own discretion in normal trading account.
- ESIP will be executed in an automated mode and client will not be called prior to upload of orders on execution day on NSE trading platform only.
- The client agrees that only market orders will be placed between 10.00 am - 12 noon on best efforts basis which may be changed on sole discretion of SIHL from time to time.
- On execution date, if any one of the selected scrip reaches upper circuit, the order will be kept live till market ends. In this case, the order may or may not be executed successfully depending upon the market.
- In case ESIP transaction is not executed, intimation will be sent to client on his registered email id.
- The debit instruction to Client's default linked bank account for doing ECS will be given on the selected date. In case of the selected date being a holiday, ECS will be executed on next working day.
- ESIP trade will be executed on T+2 day after the ECS fund transfer from the bank account. In case of it being a holiday, the same will be executed on next working day.
- The Client understands that trade execution under ESIP is subject to availability of funds in his designated ledger account with SIHL. If the balance in designated ledger account on the date of ESIP is lower than 98% of ESIP value, then ESIP transaction will not be executed.
- The client understands and agrees that SIHL is not responsible for non-execution of ESIP orders if the bank(s) withdraws the ECS facility for any reason whatsoever.
- Any additional charges incurred by SIHL like payment gateway service or any other charges incurred for collection of funds to provide this plan will be charged to client at actuals.
- Client agrees to give cheque for the resultant debit balance if any, in his account on account of price difference and / or applicable charges at the time of execution.
- Excess funds, if any, remaining after execution of ESIP transaction will be kept in client ledger account with SIHL and shall be settled on quarterly basis.
- The client understands that in case of any regulatory reason, if client's trading account is deactivated, then ESIP will be automatically discontinued / cancelled.
- Maximum 15 scrips can be selected in a single ESIP application. Minimum monthly ESIP amount is Rs. 5,000/-. Minimum investment amount per scrip is Rs. 1,000/-
- The quantity per scrip would be arrived at by dividing the ESIP amount by the market price prevailing on the execution date.
- If per scrip quantity is in fractions, it will be rounded off to lower integer and accordingly execution will take place. Any fractional quantity would be ignored.
- The client agrees that there is no assurance of full / part execution of orders in any of the selected scrips. Unsuccessful orders shall not be executed on subsequent days for that month.

- Client would be provided with the contract notes and other communications on his/her registered email id or as decided by SIHL from time to time. The client agrees and understands that it is his/her responsibility to check contract notes and other communications received from SIHL and discrepancy, if any, should be immediately communicated to SIHL.
- The risk management policies and other internal policies SIHL as applicable from time to time for clients and trades executed by them would be applicable on the trades executed through ESIP also.
- The client agrees that SIHL will not be liable for compensation of any kind for non-placement / rejection of the ESIP orders for any reason whatsoever.
- The terms and conditions of the plan will always be read and construed in conjunction with the provision of the Member Client Agreement entered into between the company and the Client. The terms of the above plan shall be in addition to and not in derogation of the terms contained in the member client agreement.
- The investments discussed or recommended in report / calls and on website of SIHL may not be suitable for all investors. Investors must make their own investment decisions based on their specific investment objectives and financial position and using such independent advisors, financial advisor, professional tax advisors as they believe necessary.
- The clients agrees not to hold SIHL, its employees, directors responsible for, or liable for, any actions, claims, demands, losses, damages, costs, charges and expenses which they may suffer, sustain or incur due to any delay, error, defect, failure or interruption in processing or execution of ESIP arising from or caused by any reason whatsoever.
- It will be client's responsibility to update SIHL regarding change in contact details (address, mobile, email) and bank details if any.

3. Brokerage / Fee Structure

- Standard brokerage and statutory levies will be charged on ESIP transactions.
- Rs. 50 as one time ESIP set-up charges will be debited from client's ledger account for availing / renewing this facility.
- Annual charge of Rs. 120 for ECS will be debited to client's ledger account separately.
- SIHL reserves the right to modify the amount of brokerage charges from time to time by intimating the same through email on Client's registered email id and the Client agrees to keep himself updated in respect thereof.

4. Renewal / Cancellation / Modification

- The plan can be renewed with the express consent of the Client by submitting new application form of ESIP duly filled and signed by the Client.
- For cancellation of plan, the Client agrees to intimate the branch / franchisee that provided ESIP facility at least 20 working days in advance (of the next ESIP date) and also ensure to send a request to his default linked bank for cancellation of ECS.
- For any modification in securities to be executed under plan, the Client agrees to intimate the branch / franchisee that provided ESIP facility at least 20 working days in advance (of the next ESIP date) by submitting new application form of ESIP duly filled and signed by client.
- On successful processing of request for renewal/modification / cancellation, the Client shall be intimated by SIHL through email on his registered email id.
- SIHL at its sole discretion, reserves the right to either temporarily or permanently withdraw or suspend the ESIP plan at any time without giving any notice or assigning any reason for the same, whether in respect of one or more clients.
- SIHL at the absolute discretion, reserves the right anytime, without prior notice to add, alter, modify, change or vary all or any of the terms and conditions or to withdraw it altogether.

DECLARATION :-

- I / We am / are fully aware and acknowledge that
- The selection of scrips for ESIP is done by me / us only and I / We shall be solely responsible for resultant profits / losses in my account.
- The above plan is not a PMS or mutual fund and all transactions shall be executed based on my instructions and consent only.
- In order to facilitate smooth execution of ESIP transaction, I / We would be required to keep clear balances in my nominated linked bank account.
- I / We have read, understood and acknowledge the above terms and conditions and agree to comply and abide by the same at all times.

Client Signature : _____ Name : _____

Date :