## **Annexure C**

#### **Investor Complaints Data\_NSDL**

## Data for every month ending - June 2023

| SN | Received<br>from             | Carried<br>forward<br>from<br>previous<br>month | Received<br>during<br>the<br>month | Total<br>Pending | Resolved* | Pending at the endof<br>the month**     |   | Average<br>Resolution<br>time^<br>(in days) |
|----|------------------------------|---|------------------------------------|------------------|-----------|---|---|---|
|    |                              |   |                                    |                  |           | Pending<br>for less<br>than 3<br>months | Pending<br>for more<br>than 3<br>months |   |
| 1  | 2                            | 3   | 4                                  | 5                | 6         |   | 7                                       | 8   |
| 1  | Directly from<br>Investors   | 0   | 0                                  | 0                | 0         | 0                                       | 0                                       | 0   |
| 2  | SEBI<br>(SCORES)             | 0   | 0                                  | 0                | 0         | 0                                       | 0                                       | 0   |
| 3  | Depositories                 | 0   | 0                                  | 0                | 0         | 0                                       | 0                                       | 0   |
| 4  | Other<br>Sources (if<br>any) | 0   | 0                                  | 0                | 0         | 0                                       | 0                                       | 0   |
| 5  | Grand Total                  | 0   | 0                                  | 0                | 0         | 0                                       | 0                                       | 0   |

# Trend of monthly disposal of complaints

| S<br>N | Month       | Carried forward from previous month | Received | Resolved* | Pending** |
|--------|-------------|-------------------------------------|----------|-----------|-----------|
| 1      | 2           | 3                                   | 4        | 5         | 6         |
| 1      | April 2023  | 0                                   | 0        | 0         | 0         |
| 2      | May 2023    | 0                                   | 0        | 0         | 0         |
| 3      | June 2023   | 0                                   | 0        | 0         | 0         |
|        |             |                                     |          |           |           |
|        |             |                                     |          |           |           |
|        |             |                                     |          |           |           |
|        |             |                                     |          |           |           |
|        |             |                                     |          |           |           |
|        |             |                                     |          |           |           |
|        |             |                                     |          |           |           |
|        |             |                                     |          |           |           |
|        |             |                                     |          |           |           |
|        | Grand Total | 0                                   | 0        | 0         | 0         |

#### **Trend of annual disposal of complaints**

| SN | Year        | Carried forward from previous year | Received<br>during the<br>year | Resolved<br>during the<br>year | Pending at<br>the end of the<br>year |
|----|-------------|------------------------------------|--------------------------------|--------------------------------|--------------------------------------|
| 1  | 2019-20     | 2                                  | 7                              | 7                              | 2                                    |
| 2  | 2020-21     | 2                                  | 8                              | 8                              | 2                                    |
| 3  | 2021-22     | 2                                  | 6                              | 8                              | 0                                    |
| 4  | 2022-23     | 0                                  | 0                              | 0                              | 0                                    |
| 5  | 2023-24     | 0                                  |                                |                                |                                      |
|    | Grand Total | 0                                  | 0                              | 0                              |                                      |

<sup>\*</sup>Should include complaints of previous months resolved in the current month, ifany

<sup>\*</sup>Should include total complaints pending as on the last day of the month, if any.

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.