

Indemnity to be executed on Non-judicial Stamp paper of appropriate value

**ANNEXURE OC**

**LETTER OF INDEMNITY**

*(to be given by legal heir(s)/legal representative(s) - value of holding not exceeding Rs.5 lakh on the date of application)*

To,

Shah Investor's Home Ltd (DP ID: IN300343)

SIHL House, Opp Jain Temple, Nehrunagar Crossroad, Ahmedabad-380015

Dear Sirs,

Sub: Transmission of securities standing in the name of Late Mr /Mrs: \_\_\_\_\_

I/We hereby inform you that Mr./Mrs \_\_\_\_\_ the deceased, was holding a Client account no Client ID: \_\_\_\_\_ with a Depository Participant having DP ID **IN300343**

The said deceased was holding the following securities:

ISIN	Name of Company	No. of securities
	As per statement	

The said deceased died intestate without leaving a Will on date: \_\_\_\_\_

We further inform you that he/she left behind him/her as his/her only surviving heirs and next of kin, the following persons according to the Law of Intestate Succession applicable to him/her by which he/she was governed at the time of his/her death.

- (a) \_\_\_\_\_  
(b) \_\_\_\_\_  
(c) \_\_\_\_\_

We have, therefore, approached you with a request to transfer the aforesaid securities in the name of the undersigned Mr./Mrs./Ms. \_\_\_\_\_

on my/our behalf without insisting of production of a succession certificate or an order of the court of competent jurisdiction and you have kindly agreed to do so on my/our executing an indemnity as is herein contained and on relying on the information herein given by us believing the same to be true.

In consideration therefore of your having at our request agreed to transfer securities to the name of the undersigned \_\_\_\_\_ I/we hereby jointly and severally agree and undertake to indemnify and keep indemnified, saved, defended, harmless you and your successors and assigns for all time hereafter against all losses, costs, claims, actions, demands, risks, charges, expenses, damages etc., whatsoever which you may suffer and/or incur by reason of your, at my/our request, transferring the said securities as herein above mentioned, to the undersigned \_\_\_\_\_ without insisting on production of a succession certificate or an order of the court of competent jurisdiction.

IN WITNESS WHEREOF THE said \_\_\_\_\_ [name(s) of applicant(s)]  
have here unto set their respective hands and seals this date \_\_\_\_\_

Passport Photo  
of  
Applicant

Signed, sealed and delivered by the said applicant Name(s)

\_\_\_\_\_  
Signature(s) of applicant(s)

## **SURETY**

I the undersigned certify that the above facts are true to the best of my knowledge and bind myself as surety to make good all claims, charges, costs, damages, demands, expenses and losses which the Participant/NSDL, its successors and assigns may sustain, incur or be liable for in consequence of complying with the request contained above of the applicant(s) herein and the Participant/ NSDL and its successors, assigns will be entitled to claim and realise all claims, charges, costs, damages, demands, expenses and losses from me or from my properties, as the case may be.



\_\_\_\_\_  
Signature of Surety

Name: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

Date: \_\_\_\_\_

Place: \_\_\_\_\_

\_\_\_\_\_  
(Signature of Magistrate/Notary)

Full Name  
and Address of  
Magistrate / Notary:

PIN

Registered No: \_\_\_\_\_



Use space below to affix:

Notarial/Court Fee Stamp	Official Seal of Magistrate/Notary

Note: This indemnity is to be executed in the presence of a first class judicial or stipendiary Magistrate/Public notary.

This affidavit is to be executed on Non-judicial Stamp paper of appropriate value

**ANNEXURE OD (A F F I D A V I T)**

(to be given by legal heir(s)/legal representative(s) - value of holding not exceeding Rs.5 lakh on the date of application)

I \_\_\_\_\_ son/daughter/spouse of \_\_\_\_\_  
residing at \_\_\_\_\_ do hereby solemnly affirm on oath and state as under :-

1. That Mr./Mrs \_\_\_\_\_ the deceased, was holding an account having Client ID \_\_\_\_\_ with \_\_\_\_\_ a Depository Participant having DP ID IN300343.

The said deceased was holding the following securities:

ISIN	Name of Company	No. of securities
	As per statement	

2. That the deceased had died intestate on \_\_\_\_\_ at Place \_\_\_\_\_
3. That the following are the only legal heir(s) of late Mr /Mrs \_\_\_\_\_

	Name	Address	Age	Relationship with Deceased
1				
2				
3				
4				

4. That out of aforesaid legal heirs Master/Kum \_\_\_\_\_ aged \_\_\_\_\_ years is a minor and he/she is being represented by his/her father/mother and natural guardian Mr /Mrs \_\_\_\_\_

5. That all the legal heirs of my deceased \_\_\_\_\_ have applied to **Shah Investor's Home Ltd (IN300343)** to register the aforesaid securities in my/our individual/joint beneficial owner account and have executed a Letter of Indemnity in favour of the Participant/NSDL holding the Participant/NSDL indemnified against any loss, cost, expenses or damages which may be caused to them in consequence of any claim which may be made by or on behalf of any person claiming any interest in the said shares.

\_\_\_\_\_  
DEPONENT SIGN

**VERIFICATION**

I hereby solemnly affirm and say that what is stated herein above are true to my knowledge and nothing has been concealed therein and that I am competent to contract and entitled to rights and benefits of the above securities.

Solemnly affirmed on date: \_\_\_\_\_

(Signature of Magistrate/Notary)

\_\_\_\_\_ Deponent Signed in the presence of

Full Name  
and Address of  
Magistrate / Notary:

PIN

Registered No: \_\_\_\_\_

Use space below to affix:

Notarial/Court Fee Stamp	Official Seal of Magistrate/Notary

Notes: 1. This affidavit is to be executed in the presence of a first class judicial or stipendiary Magistrate/Public notary.  
2. This affidavit should be signed by each deponent separately.

**ANNEXURE OE**  
**NO OBJECTION CERTIFICATE**

*(to be given by legal heir(s)/legal representative(s) - value of holding not exceeding Rs.5 lakh on the date of application)*

Date: \_\_\_\_\_

To,  
Shah Investor's Home Ltd (DP ID: IN300343)  
SIHL House, Opp Jain Temple, Nehrunagar Crossroad, Ahmedabad-380015

Dear Sirs,

Re : Transmission of security balances standing in the name of \_\_\_\_\_ late  
under Client ID \_\_\_\_\_ DP ID IN300343

1. In connection with the above, I wish to inform you that Mr./Mrs. \_\_\_\_\_ expired on  
date \_\_\_\_\_ and was holding the following securities under the Client ID  
\_\_\_\_\_ and DP ID IN300343.

ISIN	Name of Company	No. of securities
	As per statement	

2. I the undersigned, residing at \_\_\_\_\_, am a legal heir of the said deceased.
3. I do not desire to make any claim of title of the said securities and have no objection whatsoever in  
transmitting the said securities in the name(s) of Mr/Mrs \_\_\_\_\_ who has/have  
opened a beneficial owner account(s) under Client ID \_\_\_\_\_ and DP ID \_\_\_\_\_.
4. In consideration of registration of the aforesaid securities in the client account of  
Mr /Mrs \_\_\_\_\_ under DP ID IN300343 Client ID \_\_\_\_\_ at  
my request, I hereby agree to renounce all my rights existing as well as they may accrue to me in future in  
respect of the aforesaid securities.

Signed in the presence of

\_\_\_\_\_  
Bank Manager Sign

\_\_\_\_\_  
Signature of the legal heir

\_\_\_\_\_  
Bank Manager Name

\_\_\_\_\_  
Name of legal heir

Bank Name & Address

Last 4 digit of the Bank A/C Num \_\_\_\_\_

Note: This No Objection Certificate should be signed by each legal heir separately.