

Annexure – B Trading

Investor Complaints Data (NSE & BSE)

Data for every month ending – December 2021

S N	Receive d from	Carried forwar d from previou s month	Receive d during the month	Total Pendin g	Resolve d*	Pending at the end of the month**		Average Resoluti on time^ (in days)
						Pendin g for less than 3 month s	Pendin g for more than 3 month s	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORE S)	0	0	0	0	0		0
3	Stock Exchang Es (NSE)	0	1	0	1	0		4
4	Other Sources (if any)	0	0	0	0	0		0
5	Grand Total	0	1	0	1	0		4

Trend of monthly disposal of complaints (NSE& BSE)

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April -2021	0	0	0	0
2	May-2021	0	0	0	0
3	June-2021	0	0	0	0
4	July-2021	0	0	0	0
5	August – 2021	0	0	0	0
6	September-2021	0	0	0	0
7	October-2021	0	0	0	0
	November-2021	0	0	0	0
9	December-2021	0	1	1	0
10	January-2021	0	0	0	0
11	February-2021	0	0	0	0
12	March-2021	0	0	0	0
	Grand Total	0	1	1	0

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints (NSE & BSE)

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20 (NSE)	0	1	1	0
4	2020-21 (NSE)	0	2	2	0
5	2021-22 (NSE)	0	1	1	0
	Grand Total	0	4	4	0

Annexure C - NSDL

Investor Complaints Data NSDL

Data for every month ending -December 2021 NSDL

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORES)	0	0	0	0	0		0
3	Depositories	0	0	0	0	0		0
4	Other Sources (if any)	0	0	0	0	0		0
5	Grand Total	0	0	0	0	0		0

Trend of monthly disposal of complaints NSDL

S N	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April - 2021	0	1	1	0
2	May- 2021	0	0	0	0
3	June-2021	0	0	0	0
4	July- 2021	0	0	0	0
5	August 2021	0	1	1	0
6	September 2021	0	0	0	0
7	October 2021	0	2	1	1
8	November 2021	0	0	0	0
9	December 2021	0	0	0	0
10	January 2021				
11	February 2021				
12	March 2021				
	Grand Total	0	4	3	1

*Should include complaints of previous months resolved in the current month, if any

*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints NSDL

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	3	2	1
2	2018-19	1	14	13	2
3	2019-20	2	7	7	2
4	2020-21	2	8	8	2
5	2021-22	2	4	3	3
	Grand Total	7	36	33	10

Annexure C – CDSL

Investor Complaints Data CDSL

Data for every month ending -December 2021

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORES)	0	0	0	0	0		0
3	Depositories	0	0	0	0	0		0
4	Other Sources (if any)	0	0	0	0	0		0
5	Grand Total	0	0	0	0	0		0

Trend of monthly disposal of complaints CDSL

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April - 2021	0	0	0	0
2	May- 2021	0	0	0	0
3	June-2021	0	0	0	0
4	July- 2021	0	0	0	0
5	August 2021	0	0	0	0
6	September 2021	0	0	0	0
7	October 2021	0	0	0	0
8	November 2021	0	0	0	0
9	December 2021	0	0	0	0
10	January 2022	0	0	0	0
11	February 2022	0	0	0	0
12	March 2022	0	0	0	0
	Grand Total	0	0	0	0

*Should include complaints of previous months resolved in the current month, if any

*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints CDSL

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	1	1	0
2	2018-19	0	1	1	0
3	2019-20	0	1	1	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
	Grand Total	0	3	3	0